

Case No.: 58330US004

ED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

JOHNSON, MATTHEW B.

Application No.:

10/770604

Group Art Unit:

2871

Filed:

January 29, 2004

Examiner:

Unknown

Title:

POLYMERIC OPTICAL FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents

P.O. Box 1450, Alexandria, VA/ 22313-1450 g/h

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents and non-patent literature documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

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It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

5, 2004

Date

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Office of Intellectual Property Counsel 3M Innovative Properties Company

Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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First Named Inventor	Johnson, Matthew B.
Art Unit	2871
Examiner Name	Unknown
Attorney Case Number	58330US004

U.S. Patent Documents						
Exam.	Cite No.	Document Number	Publication Date or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
Init.*		Doc. Number-(Kind Code if Known)	MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	
	A1	US- 6,380,996 B1	04/30/2002	Yokoyama et al.		
	A2	US- 2002/0149725 A1	10/17/2002	Hashimoto		
	А3	US-				
	A4	US-				
	A5	US-				

	Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation	
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)	
	B1	EP	0 899 605 A2	03/03/1999				
	B2	EP	1 156 349 A1	11/21/2001				
	В3	EP	1 300 701 A1	04/09/2003				
	B4							

OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
	C1	NONE			
	C2				
	СЗ				

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.